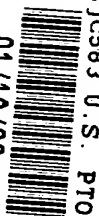


01/12/00



JC583 U.S. PTO

01-13-00

**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications under 37
CFR 1.53(b))

Attorney Docket No.

C0441/7154

First Named Inventor or Application Identifier

LEWIS, Lundy, et al.

Express Mail Label No.

EL310241415US

Date of Deposit

January 12, 2000

JC511 U.S. PTO

09/481643

01/12/00

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS

TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total pages 27]
20 pages specification
1 pages abstract
6 pages claims
30 claims
3. ☒ Drawing(s) (35 USC 113) [Total sheets 13]
[] Informal [x] Formal [Total drs.Figs. 1-13]
4. ☒ Oath or Declaration [Total pages 3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference
(usable if Box 4b is checked)
The entire disclosure of the prior application,
from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being
part of the disclosure of the accompanying
application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation of Document (if applicable)
11. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior
Statement(s) application, Status still proper
and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)


16. Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 08/649,278.
- ☒ Cancel in this application original claims 1-30 of the prior application before calculating the filing fee.
- ☒ Amend the specification by inserting before the first line the sentence:

This application is a continuation of application Serial No. 08/649,278, filed May 17, 1996, entitled METHOD
AND APPARATUS FOR INTEGRATED NETWORK MANAGEMENT AND SYSTEMS MANAGEMENT IN
COMMUNICATIONS NETWORKS, and now allowed.

18. CORRESPONDENCE ADDRESS					
Correspondence address below					
ATTORNEY'S NAME	Therese A. Hendricks, Reg. No. 30,389				
NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
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19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Therese A. Hendricks, Reg. No. 30,389
SIGNATURE	
DATE	January 12, 2000

Inventor or Identifier: Lewis Lundy, et al.

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: METHOD AND APPARATUS FOR INTEGRATED
NETWORK MANAGEMENT AND SYSTEMS
MANAGEMENT IN COMMUNICATIONS NETWORKS

☐ **DUPLICATE**

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	10-20=	x	\$18	= \$0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1-3=	x	\$78	= \$0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$0.00
				BASIC FEE (37 CFR 1.16(a))	\$ 690.00
	Total of above Calculations =				\$690.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (e.g., Petition for Extension of Time), if any NOTE: Enter small-entity amount if applicable.				\$
	TOTAL =				\$690.00

1. A check in the amount of \$690.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR § ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR § ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



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(617) 720-3500
Attorneys of Record

Docket No. C0441/7154
Date: January 12, 2000